

REEES NTTF REVIEW AND PROMOTION POLICIES

April 10, 2016

This document was drawn up by the REEES faculty, in consultation with the guidelines provided by CAS and the terms outlined in the CBA, and input was solicited from all pro tem NTTF, career NTTF, and TTF faculty members in REEES.

This policy applies to all represented faculty and is intended to comply with all provisions of Article 19 of the CBA. To the extent there are any discrepancies or inconsistencies, CBA Article 19 controls for represented faculty. This policy also applies to all unrepresented faculty, unless a university-wide policy exists that contradicts the terms of this policy.

If review or promotion procedures change during the course of a faculty member's employment, they may elect between current criteria and those in effect during the six years prior to the initiation of a given review or promotion process.

Career NTTF in research appointments will be reviewed by established procedures to assess the quality of work performed and the outcomes of their contributions to the research program.

CAREER NTTF REVIEWS

1. Career NTTF will be reviewed in each contract period for consideration for renewal, or once every three academic years, whichever is sooner. If a career NTTF member has multiple contracts in a year, only review per fiscal academic year is required. The review will consider the faculty member's performance since the last review.
2. Career NTTF faculty members will be evaluated only by the criteria approved and made available to the faculty member. Career NTTF will be evaluated on the quality of their teaching and on their service/professional development/scholarship in proportion to the FTE afforded to those aspects in their job description.
3. If a faculty member seeks promotion in a year when a contract renewal review is due, only a single review must be completed. However the contract renewal decision must be made independently of the promotion decision.
4. For contract renewal reviews, the faculty member may choose to submit a curriculum vitae and a personal statement containing information relevant to their performance of assigned duties and responsibilities

5. The following elements will be considered in evaluating teaching:
 - a. Student evaluations for all courses with five or more students.
 - b. At least one peer evaluation of teaching for each contract period. The peer evaluation should include an examination of the faculty member's syllabus and other materials for the course being evaluated and the observation of at least one class. The time frame for this review will be established through discussion with the NTTF member; at least one week of advance notice will be provided.
 - c. Evidence of contributions to enhanced teaching through curriculum development, innovative teaching techniques, and/or course supervision.
 - d. The faculty member's personal statement if one is submitted.
6. The following elements will be considered in evaluating service.
 - a. Evidence of formal and/or informal program service.
 - b. Evidence of formal and/or informal college service.
 - c. Evidence of formal and/or informal university service.
 - d. Evidence of community or professional service.
7. If a faculty member has been assigned specific service duties in place of some teaching, their performance of those duties will also be evaluated.
8. To the extent applicable, the evaluation of scholarship, research, and creative activity will include an assessment of work quality, impact on the field nationally and internationally, and overall contribution to the discipline or program.
9. In evaluating the performance of required professional development activities, the review will consider the availability of professional development funds, opportunities for professional development, and the Career NTTF faculty member's efforts to secure funding.
10. To comply with the May 1st contract renewal notification, career NTTF will be notified by the first day of the term in which their review will occur. At that point, they will be invited to submit a CV and/or a personal statement as in item 4 above. If a faculty member wishes to submit a CV and/or personal statement, it must be submitted at the beginning of the term in which the review will occur.
11. The review will be conducted by the program director, or a designee, based on the materials submitted.
12. The review should be completed by February 15. The faculty member will be given the opportunity to discuss their efforts, performance, and review with

their program director or a designee. The program director will then forward the promotion contract renewal materials with their report and recommendation materials to the College of Arts and Sciences.

CAREER NTTF PROMOTION REVIEWS

1. Criteria for promotion to Senior Instructor I and Senior Lecturer I are based on a sustained record of excellent performance in the responsibilities of Instructor of Lecturer, as delineated in the relevant job descriptions. These might include outstanding teaching, as well as evidence of instructional, supervisory, and/or service leadership. Such activities could include mentoring other instructors, coordinating multi-section courses, participating in professional development opportunities, and developing effective and innovative curricula, organizational structures, and pedagogical techniques.
2. Criteria for promotion to Senior Instructor II and Senior Lecturer II are based on a sustained record of excellent performance in the responsibilities Senior Instructor of Senior Lecturer, as delineated in the relevant job descriptions. These could include evidence of sustained excellence in teaching, supervisory, and service responsibilities, and a demonstrated commitment to employing and enhancing leadership skills in areas such as pedagogical, curricular, and organizational innovations and improvements, as well as participation in and contributions to professional development opportunities.
3. Career NTTF will be eligible for promotion after accumulating six years of service in rank at an average of 0.3 FTE or greater, accrued at no greater than three terms per academic year for faculty on nine month contracts and at four terms per year for faculty on 12 month contracts. The review will consider the faculty member's performance since hiring, or since the previous promotion.
4. Career NTTF who will have completed five years of employment as a faculty member at or above 0.3 annualized FTE per year may initiate the promotion process in the Spring term of the fifth year if they have an expected appointment of 0.3 annualized FTE or greater for the sixth year.
5. For all career NTTF, promotion is elective and does not involve an "up or out" decision. Career NTTF may be reappointed at their current rank if they are not promoted or not considered for promotion.
6. An accelerated promotion review may occur in particularly meritorious cases as determined by the Provost or designee in consultation with the dean, program director, and faculty member. When credit for prior service is agreed upon at the time of hire, it states the earliest date of promotion. Work done by

the faculty member during the period of prior service will receive full consideration during the promotion process if the faculty member elects the earliest date for promotion review. Should the faculty member choose to use some, but not all of the credit for prior service, the focus of the review will adjust appropriately.

7. Candidates wishing to be considered for promotion should notify the appropriate program director in the Spring term of the year prior to the year when promotion is sought, and must provide by January 15 of the year in which the promotion review will occur:
 - a. A comprehensive and current signed and dated **curriculum vitae** that includes the faculty member's current instructional work and other activities that relate to job performance.
 - b. A 2-6-page signed and dated **personal statement** developed by the faculty member evaluating their performance measured against the applicable criteria for promotion. The personal statement should expressly address the teaching, other instruction-related activities, professional development, and service contributions to the academic program, college, university, profession and community. The statement should also include discussion of contributions to institutional equity and inclusion.
 - c. A signed and dated waiver. A faculty member may choose to waive in advance in writing their access to any or all of the evaluative materials. Such waivers shall not preclude the use of redacted versions of these documents in a denial review process. The redacted versions are intended to protect the identity of the reviewer. If redactions are insufficient to do so, the university may prepare a suitable summary.
 - d. **Supervisor's letters of evaluation.**
 - e. **Teaching portfolio:** This may include teaching evaluations, representative course syllabi, examples of exams, handouts, assignments, and of student work.
 - f. **Service portfolio, if applicable:** An account of the faculty member's service contributions to their academic program, college, university, profession and community. This may contain samples and/or narrative describing the service. It may be subsumed into the curriculum vitae if appropriate

8. The promotion review will be conducted by either a standing program Promotion Committee or by a committee appointed by the program head, depending on the department/program's practice. The committee will whenever possible include both TTF and NTTF, who are at the same or higher rank than the rank the candidate is seeking. NTTF colleagues of appropriate rank from other units may be invited to serve on the committee. The committee decides whether or not internal and/or external reviews (over and above supervisors' evaluations) will be used in a given promotion case. The

use of such reviewers and the process for their selection will be discussed with the candidate in advance of solicitation of reviewers. External reviewers will be selected using standard University guidelines and recommendations and consistent with the general expectations enumerated in Article 20, Section 14 of the CBA.

The committee will review the promotion case and prepare a recommendation with a voting summary by February 10. This review will be based on the criteria for promotion as formulated by the program, the promotion review file, and material that has been considered in contract renewal reviews. This report will be submitted to the program for discussion and a vote. Voting members will include all TTF and all NTTF at the rank or above of the rank sought by the candidate for promotion. The program director will then prepare an independent report on the merits of the promotion case, with their own recommendation. The faculty member will be given the opportunity to discuss their efforts, performance, and review with their supervisor.

9. The file, including the committee report, the unit's voting summary, and the program director's independent report and recommendation will then be sent to the appropriate associate dean in the College of Arts and Sciences by March 1. The review should be completed by February 15, so the candidate has ample time to respond to the review.
10. Reapplication for Promotion. Unsuccessful candidates for promotion may continue at current rank as long as eligible under the Collective Bargaining Agreement and university policy. They may reapply for promotion after employment by the university for an additional 3 years at an average of 0.3 FTE or greater, accrued at no greater than 3 terms per academic year.
11. Appeal of Promotion Denial. Unsuccessful candidates may appeal as provided by Article 21 (Tenure and Promotion Denial Appeal) or other university appeals processes which apply to faculty not covered by the CBA.
12. Withdrawal of Application. The candidate can withdraw their application for promotion in writing to the Provost and the dean at any time before the Provost's decision.

PRO TEM NTTF REVIEWS

1. The instructional contributions of pro tem NTTF will be reviewed in each contract period.
2. The following will be considered in evaluating teaching:

- a. Student evaluations for all courses with five or more students.
- b. At least one peer evaluation of teaching for every new course that is taught. The peer evaluation should include an examination of the faculty member's syllabus and other materials for the course being evaluated and a classroom observation. The time frame for this review will be established through discussion with the NTTF member; at least one week of advance notice will be provided.