

Department of Biology
Tenure-Track Faculty Professional Responsibilities
June 9, 2020
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I. Preamble

Tenure-track faculty (TTF) are expected to attain—and maintain—a full spectrum of accomplishment in research, teaching, and service. They are evaluated according to rigorous academic standards typical of other major American research universities. The occupational security and flexibility that TTF enjoy reflect the combination of sustained, disciplined effort and intellectual freedom indispensable to the creation and dissemination of new knowledge, which are cardinal missions of any research university.

II. Workload expectations for TTF

Besides teaching their courses and guiding students in other ways, TTF should be engaged in research, scholarship, or creative activity during the academic year. Service duties are generally secondary, in particular for assistant professors, but increase significantly with each advance in rank. As a rough guideline, full-time TTF should spend 40% of their effort on research, 40% on teaching, and 20% on service over the academic year. Individual workload assignments should reflect a realistic balance of duties consistent with the criteria for review.

A. Research

Tenure-track faculty are expected to pursue an active program of research, scholarship, and/or creative activity appropriate to their professional qualifications, expertise, and evolving professional interests; and to disseminate the fruits of this effort to appropriate scholarly and lay audiences through publication and other forms of presentation. Individual faculty research programs are monitored, evaluated, and rewarded through established contract review, promotion and tenure, post-tenure review, and merit review processes and, through peer review, held to the national and international standards of the relevant scholarly disciplines.

B. Teaching

1. Standard course load. The standard course load for TTF in the department is 3 courses of at least 4 credit hours each during the academic year, but see course load reduction (Section IV). TTF are expected to be able to teach a full range of courses, from introductory undergraduate surveys through advanced graduate seminars, on both broad and specialized subjects. The importance of TTF contributions to the General Education curriculum, where a TTF's broad command of a scholarly field is especially valuable, should not be overlooked. In general, TTF instruction is expected to include one lower level course (100, 200, 300 level) and one upper level course (400/500, 600) each year.

2. Course revision. TTF are expected to revise their courses as needed to incorporate advances in academic content and pedagogy, and to ensure that their courses continue to promote the learning outcomes of the departments and programs (including General Education) of which they are a part.

3. Independent study courses. In addition to the standard classroom course load, TTF frequently supervise students, both graduate and undergraduate, in independent study courses. In these instances, faculty members are expected to maintain standards of student work and student-instructor engagement appropriate to the awarding of academic credit. This topic is addressed further in section C4 below.

4. Course load reduction. These policies are described in section IV below.

5. Team-teaching. A course team-taught by two faculty members will typically count as half a course for each unless both contribute nearly full effort as part of a special educational opportunity for students. In the latter case, a team-taught course may count as a full course for each faculty member with the approval of the department or program head(s), and so long as the unit can meet its curricular and enrollment needs with existing resources. This will require approval of the department or program head(s), and assumes that the unit can meet its curricular and enrollment needs with existing resources. Generally, the faculty members' other teaching assignments should generate sufficient enrollments to compensate for any loss created by the team-teaching arrangement. A course team-taught by more than two faculty can also count toward course load in proportion to the effort involved. For example, if a faculty member teaches two weeks of a graduate level course, five such courses would then count as one course for purposes of determining the overall course load. Such arrangements must be made with approval of the Department Head.

6. Course load increase for unsatisfactory research productivity. UO aspires to be a preeminent and innovative public research university. In order to fulfill this aspiration, all our tenured faculty members must be actively engaged in research and scholarship throughout their careers. This policy is intended to address concerns about research productivity after tenure, and the resultant workload equity issues, by providing support for faculty and guidance for addressing unsatisfactory research productivity.

If concerns about research productivity arise or persist during any three- or six-year post-tenure review, and the Provost or their designee concludes that the faculty member's research productivity is unsatisfactory, the department or unit head will consult with the faculty member and recommend to the Provost a development plan for demonstrable improvement. The development plan can include mentoring or other support and suggest directions for research. It should include time lines and measurable goals for improved research productivity. The faculty member is responsible for regularly consulting with their department or unit head, who will provide guidance for the faculty member's efforts to attain development plan goals. Upon approval by the Provost or designee, the development plan will be implemented as soon as possible with the goal of reaching satisfactory performance by the next scheduled post-tenure review.

Should the Provost or designee conclude that the faculty member's research productivity remains unsatisfactory at the post-tenure review following the implementation of the development plan, the faculty member's standard workload may be adjusted to increase teaching and/or service. This gives the faculty member an opportunity to continue making a full-time contribution to the department's mission. The faculty member's workload reallocation should be recognized in the merit raise process with appropriate adjustments to the percentage of the merit raise determined by teaching and/or service. The department head will remain open to discussions with the faculty member about ways to support the faculty member in achieving their research goals, which may include future changes to teaching and service loads. Further specifics pertaining to the Department of Biology follow below.

As the principal investigator of a research lab, biology faculty must spend significant effort doing research, mentoring students, pursuing grant funds and writing scientific papers. However, faculty may at some point in their career choose to de-emphasize research and place a greater emphasis on teaching or service, a choice that could significantly and positively impact our teaching mission. The overall effort of faculty with greatly reduced research activities will be much less than that of research active faculty, if both maintain identical teaching loads. Research inactive faculty could maintain an equivalent effort

with research active faculty in the department and university by increasing their teaching or service to the department. Such increases in teaching or service would then replace research contributions when evaluating merit raises. At the same time, it is important that we also have mechanisms to assist a faculty member who seeks to re-establish a vigorous research program after a period of research inactivity.

Mechanism

As noted above, if a faculty member's research productivity is deemed unsatisfactory during a post-tenure review, it becomes incumbent on the department to develop: 1) a development plan, subject to approval of the Provost or designee, for demonstrable improvement in the research arena or 2) or a plan for increased teaching and/or service, that would enable the faculty to continue to make a full-time contribution to department despite a reduced focus on research. In the former case, it is expected that that significant progress toward increased research productivity be evident in the post-tenure review following implementation of the development plan. In the event that it is not, then a reallocation of the faculty member's duties toward increased teaching and/or service should follow. In Biology, this will occur by the following process:

Tenure-track faculty who anticipate a reduced effort on research can meet with the department head, personnel committee, and institute director to make plans for a transition to an increased teaching and/or service load. In addition, the Personnel Committee will continue to evaluate faculty effort in teaching, research and service during the 3-year reviews. The personnel committee will look at the record of graduate student training, postdoctoral fellow mentoring, grant applications and paper submissions during the past 3 years. After any unsatisfactory post-tenure reviews (three- or six-year) with respect to research performance, faculty that have significantly reduced total effort towards these activities, but have not contacted the department head about adjusting their teaching and service levels, will be asked to meet with the personnel committee, department head and, if appropriate, research unit director to determine an appropriate level of teaching or service to compensate for the reduced research activities without damaging a plan to re-establish research if that is the desire of the faculty. The assignment of teaching and service loads is ultimately the responsibility of the department head.

If one were to replace all research activity with teaching, the course load per year would be increased over time until a level of six courses is reached. For a research-inactive department head, the annual course load expected would be 3 courses. Units of course credit can be granted for preparing new courses, until the agreed upon increased teaching load is reached, with an example given

below. Lastly, research inactive faculty who take on an increased teaching load but later wish to revive their research program can meet with the department head to propose relief from the increased teaching load so that they can devote more time to writing and submitting grant proposals, but a plan acceptable to the Provost or designee must be put forth. Increased service might also be used in place of research.

Example

A full load of effort during the academic year could be divided into 6 units, with a typical distribution being 2 units of teaching, 1 unit of service and 3 units of research. A faculty member who no longer trains lab members or submits grants, but maintains some collaborative article output and has excellent service might be asked to devote a term to prepare a new class and the next term to teach that class, switching the unit distribution to 4 units of teaching (2 prep units, 2 classes taught), 1 of research and 1 of service. Over time, further preparation time would not be needed, ultimately resulting in a 4 class teaching load, along with 1 unit of research and 1 of service.

Adjusting criteria for merit raises in event of increased teaching load

As noted above, in the event of protracted research inactivity, as evidenced by two successive post-tenure reviews that find that an individual TTF does not meet expectations for scholarly activity, the department will reallocate that individual's FTE so that more time is spent on teaching, with a correspondingly higher course load. The relative percentages for merit criteria can be reallocated accordingly. Additionally, individual faculty may request this option. The relative percentages for merit criteria can be reallocated accordingly. This affords a TTF the opportunity to continue making a full-time contribution to the department's mission. As a means of supporting the re-establishment of a TTF's research program, however, development plans for such faculty will prescribe conditions for the full or partial restoration of the lower standard course load.

C. Advising and student contact

1. General advising expectations. TTF are expected to advise and mentor students who take their courses insofar as this is considered a normal part of teaching any course. They may also be called upon to provide academic advising for students they have not taught in courses but who are enrolled in the degree programs for which they serve as faculty. They should be willing to write recommendation letters and serve as references for students whom they are qualified to evaluate on the basis of coursework or other contact.

2. Office hours and student contact. TTF should hold at least two office hours a week during terms they are teaching, and be available by appointment. They should also make themselves reasonably available to students via email and/or other appropriate online media.

3. Thesis and dissertation committees. TTF should expect to serve as chairs or members of both undergraduate and graduate thesis and dissertation committees, as appropriate to their expertise, the nature of their academic unit, and the needs and interests of their students.

4. Graduate education. Extensive advising and mentoring of graduate students, both inside and outside of formal classroom coursework, are a particular responsibility of TTF, and often inseparable from a TTF's own research program. In addition to writing recommendation letters and serving as references, TTF customarily help their graduate students secure postgraduate positions and connect them to appropriate professional development opportunities and networks in their fields.

D. Service

1. Shared governance. TTF bear significant responsibility for shared governance and are therefore expected to serve actively on departmental, college, and university committees and in other roles in service to the institution. Assistant professors are expected to perform some service, typically within the department, though less than associate and especially full professors, for whom service expectations both inside and outside the department rise substantially over the course of a career.

2. Departmental service. TTF are expected to take part in the normal service workload of the department. This includes participation in standing and ad hoc committees' work as spelled out in the department's internal governance document, any regular work needed (in the judgment of the department head) beyond that, and any other service work that may happen irregularly (for example, curricular review and program review).

3. Professional service. TTF often serve as members or officers of professional organizations, editorial boards, and conference and prize committees for their disciplines at the national and international levels. They are also called upon to lend expert evaluations in the peer review of academic publications, grants and fellowships, and promotion and tenure cases for colleagues at other institutions.

E. Equity and inclusion

Faculty are expected to contribute to the University's goals regarding equity and inclusion. These contributions may consist of research, teaching, and service activities as appropriate, as well as involvement with academic and professional associations, non-profit, governmental, and/or private sector organizations.

III. Teaching and Service Assignment Process for TTF

A. Teaching and service assignments

Except as otherwise determined by the Provost, Dean, or other designee, the department head shall be responsible for the scheduling and assignment of all faculty members' professional responsibilities.

The College and the University recognize the value of teaching that occurs outside a faculty member's home department, whether in another department, in another college, in an interdisciplinary academic program, or in an enrichment program. A faculty member may be offered such a teaching opportunity, with or without a stipend, in lieu of a course assignment in the home department. Approval of such assignments is at the discretion of the Dean or Dean's designee, acting in consultation with the heads or directors of both the home and the host departments or programs. Approval from the Dean's Office is not required for any course taught in another unit of the College of Arts and Sciences without a stipend.

A faculty member shall be afforded the opportunity to meet with their department head and/or designated curriculum director at least annually, before responsibilities are assigned, to discuss their preferences regarding assignments for teaching, research, service and other professional responsibilities, and anticipated resource needs; to address concerns about advising load inequities and balancing demanding with less-demanding assignments; and to discuss when FTE allocation may differ from the norm. Faculty members may request consideration of adjustment of schedules or assignments.

The Provost or designee may modify scheduled assignments, provided that the department head discusses changes with the faculty member before they are made and that changes are not made for arbitrary or capricious reasons.

Process for Assigning Service Activities: The Department's faculty will vote to assign one TTF from each of the four research active institutes to serve on the Personnel Committee. The department head makes all other TTF committee assignments annually based on faculty availability and departmental need.

B. Overload assignments

An overload assignment is (1) an assignment that is in addition to the faculty member's regular assignment and FTE status; (2) a one time or limited assignment, made or approved by the Provost or designee, that is in addition to or different from regular or usual assignments for the member's classification and rank; or (3) assignments unrelated to the faculty member's primary job responsibilities.

Overload assignments in some specific programs (as identified in in the Assignment of Professional Responsibilities article of the CBA) may be compensated through a lump sum. All other overload appointments will be assigned an FTE percentage commensurate with normal workload duties and compensated accordingly. Faculty may request that overload compensation take the form of class release. No faculty member may be disciplined or terminated for refusing an overload assignment. No faculty member may be disciplined or terminated for refusing an overload assignment.

Appointments for which compensation is paid, in whole or in part, with federal funds may be ineligible for overload compensation.

C. Stipends

The allotment of stipends will be consistent with university and college policy.

D. Course cancellation policy

If a course is cancelled for any reason, a TTF may be asked to teach the same course, or an alternative course, in a subsequent term. If scheduling or curricular reasons make this impossible or inadvisable, the TTF will be required to teach the course or an alternative course in the following academic year in addition to the regular course load. The faculty member may also agree to give up a previously banked course release to compensate for a course cancellation. Whatever the case, the TTF is expected to rebalance research, teaching, and service duties, across academic years if needed, so as to remain fully engaged at the appointed FTE.

IV. Course load reduction

In recognition of the effort required to fund and supervise a laboratory, faculty with sufficient externally funded research activities will get an automatic reduction to 2 courses per year. Faculty satisfying any of the following criteria will receive this reduction.

- a. **Pre-tenure:** all pre-tenure faculty will get an automatic reduction to 2 course per year, independent of any course releases in their job offer package.

- b. **Average research expenditures:** average research expenditures (including F&A) for the previous two calendar years are at or above the cost at the UO of employing a level 0 NRSA postdoc.
- c. **Grace year:** it is the first year in three years that neither a. nor b. above apply.
- d. **Last year's research expenditures:** research expenditures (including F&A) for the previous calendar year are at or above the cost at the UO of employing a level 0 NRSA postdoc.

Note: research expenditures in category b are intended to include research expenditures as PI, co-PI or Collaborator where appropriate and also includes pre- and post-doctoral awards, and NIH or NSF Training Grant support, obtained by laboratory members.

There are three other ways a faculty member's course load in a department may be reduced from the unit's base load: A) a course buyout where funds (e.g., from a grant) are explicitly exchanged for a course reduction, B) an FTE reduction in the department either for an assignment in another unit, or for some other purpose (e.g. to serve as Associate Dean), or C) a course release where someone is given a course reduction without any funds or FTE exchanged for this reduction (e.g. to serve as DGS within the unit). Any reduction in course workload for a faculty member is subject to the department meeting its curricular needs and requires approval by the department head and Dean.

A. Course buyouts

See CAS course buyout policy and the Department of Biology course buyout policy.

B. FTE reassignment to unit outside of the department

A TTF may be offered an assignment in a unit other than the home department, with or without additional compensation, to perform duties outside the home department by reassigning the FTE of the faculty member. For example, appointment into a Divisional Dean position in the College reassigns part or all of a person's FTE in course instruction to administration.

C. Course release

The Dean provides an allocation of course releases to the departments annually (not including the department head/program director releases) based on CAS published guidelines. The departments determine how to allocate those course releases, as described below. If the department uses more course releases than

allocated by the Dean in a given year, these will be charged to future allocations. The department may carry forward extra course releases up to 25% of its annual allocation.

The Department of Biology's interests will be best served by a flexible policy that gives the department head (DH) the freedom to accommodate individual needs and unusual circumstances. In practice, most course releases are for administrative assignments such as curriculum director, undergraduate advisors, lab preparator, program administration, or other work related to the educational mission of the department.

A faculty member may bank a course release (or fractional course release) for use in a subsequent academic year. It is the department head's responsibility to keep an accurate list of banked course releases. No more than three course releases may be banked at one time, and no more than one banked course release may be redeemed in a given year without the approval of the Dean or Dean's designee. A banked course release must be redeemed within three years of being banked unless otherwise approved by the Dean or Dean's designee.

The department head may require a faculty member to bank a course release if the department cannot otherwise meet its curricular and enrollment needs for a given year with available resources.